Factorization of Block Triangular Matrix Functions with Off-diagonal Binomials

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Dedicated to Israel Gohberg on the occasion of his 75th birthday

Abstract. Factorizations of Wiener–Hopf type are considered in the abstract framework of Wiener algebras of matrix-valued functions on connected compact abelian groups, with a non-archimedean linear order on the dual group. A criterion for factorizability is established for 2×2 block triangular matrix functions with elementary functions on the main diagonal and a binomial expression in the off-diagonal block.

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1. Introduction and the main result

Let G be a (multiplicative) connected compact abelian group and let Γ be its (additive) character group. Recall that Γ consists of continuous homomorphisms of G into the group of unimodular complex numbers. Since G is compact, Γ is discrete. In applications, often Γ is an additive subgroup of \mathbb{R} , the group of real numbers, or of \mathbb{R}^k , and G is the Bohr compactification of Γ . The group G can be also thought of as the character group of Γ , an observation that will be often used.

The group G has a unique invariant measure ν satisfying $\nu(G) = 1$, while Γ is equipped with the discrete topology and the (translation invariant) counting measure. It is well known [17] that, because G is connected, Γ can be made into a linearly ordered group. So let \preceq be a linear order such that (Γ, \preceq) is an ordered group, i. e., if $x, y, z \in \Gamma$ and $x \preceq y$, then $x + z \preceq y + z$. Throughout the paper it will

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be assumed that Γ is ordered with a fixed linear order \preceq . The notations \prec, \succeq, \succ , \succ , max, min (with obvious meaning) will also be used. We put $\Gamma_+ = \{x \in \Gamma : x \succeq 0\}$ and $\Gamma_- = \{x \in \Gamma : x \preceq 0\}$.

For any nonempty set M, let $\ell^1(M)$ stand for the complex Banach space of all complex-valued M-indexed sequences $\boldsymbol{x} = \{x_j\}_{j \in M}$ having at most countably many nonzero terms that are finite with respect to the norm

$$\|\boldsymbol{x}\|_1 = \sum_{j \in M} |x_j|.$$

Then $\ell^1(\Gamma)$ is a commutative Banach algebra with unit element with respect to the convolution product $(\boldsymbol{x} * \boldsymbol{y})_j = \sum_{k \in \Gamma} x_k y_{j-k}$. Further, $\ell^1(\Gamma_+)$ and $\ell^1(\Gamma_-)$ are closed subalgebras of $\ell^1(\Gamma)$ containing the unit element.

Given $a = \{a_j\}_{j \in \Gamma} \in \ell^1(\Gamma)$, by the *symbol* of a we mean the complex-valued continuous function \hat{a} on G defined by

$$\hat{a}(g) = \sum_{j \in \Gamma} a_j \langle j, g \rangle, \qquad g \in G, \tag{1}$$

where $\langle j, g \rangle$ stands for the action of the character $j \in \Gamma$ on the group element $g \in G$ (thus, $\langle j, g \rangle$ is a unimodular complex number), or, by Pontryagin duality, of the character $g \in G$ on the group element $j \in \Gamma$. The set

$$\sigma(\hat{a}) := \{ j \in \Gamma : a_j \neq 0 \}$$

will be called the *Fourier spectrum* of \hat{a} given by (1). Since Γ is written additively and G multiplicatively, we have

$$\begin{split} \langle \alpha + \beta, g \rangle &= \langle \alpha, g \rangle \cdot \langle \beta, g \rangle, \qquad \alpha, \beta \in \Gamma, \quad g \in G, \\ \langle \alpha, gh \rangle &= \langle \alpha, g \rangle \cdot \langle \alpha, h \rangle, \qquad \alpha \in \Gamma, \quad g, h \in G. \end{split}$$

We will use the shorthand notation e_{α} for the function $e_{\alpha}(g) = \langle \alpha, g \rangle, g \in G$. Thus, $e_{\alpha+\beta} = e_{\alpha}e_{\beta}, \alpha, \beta \in \Gamma$.

The set of all symbols of elements $a \in \ell^1(\Gamma)$ forms an algebra W(G) of continuous functions on G. The algebra W(G) (with pointwise multiplication and addition) is isomorphic to $\ell^1(\Gamma)$. Denote by $W(G)_+$ (resp., $W(G)_-$) the algebra of symbols of elements in $\ell^1(\Gamma_+)$ (resp., $\ell^1(\Gamma_-)$).

We have the following result. For every unital Banach algebra \mathcal{A} we denote its group of invertible elements by $\mathcal{G}(\mathcal{A})$.

Theorem 1. Let G be a compact abelian group with character group Γ , and let $W(G)^{n \times n}$ be the corresponding Wiener algebra of $n \times n$ matrix functions. Then $\hat{A} \in \mathcal{G}(W(G)^{n \times n})$ if and only if $\hat{A}(g) \in \mathcal{G}(\mathbb{C}^{n \times n})$ for every $g \in G$.

This is an immediate consequence of Theorem A.1 in [8] (also proved in [1], and see [14]).

We now consider the discrete abelian subgroup Γ' of Γ and denote its character group by G'. Then we introduce the annihilator

$$\Lambda = \{ g \in G : \langle j, g \rangle = 1 \text{ for all } j \in \Gamma' \},$$
(2)

which is a closed subgroup of G and hence a compact group. According to Theorem 2.1.2 in [17], we have $G' \simeq (G/\Lambda)$.

Let us now introduce the natural projection $\pi : G \to G/\Lambda$. We observe that the above theorem also applies to $W(G')^{n \times n}$. Given $A \in \ell^1(\Gamma)^{n \times n}$ with its Fourier spectrum restricted to Γ' (i.e., $A_j = 0$ for $j \in \Gamma \setminus \Gamma'$), we have two symbol definitions:

$$\hat{A}_{\Gamma}(g) = \sum_{j \in \Gamma'} A_j \langle j, g \rangle, \qquad g \in G,$$
$$\hat{A}_{\Gamma'}(g) = \sum_{j \in \Gamma'} A_j \langle j, g \rangle, \qquad g \in G',$$

where we have taken into account that $A_j = 0$ for $j \in \Gamma \setminus \Gamma'$. The latter can be replaced by

$$\hat{A}_{\Gamma'}([g]) = \sum_{j \in \Gamma'} A_j \langle j, g \rangle, \qquad [g] \in (G/\Lambda),$$

where $[g] = \pi(g)$ for $g \in G$. Obviously, $\langle j, g \rangle$ only depends on $[g] = \pi(g)$ if $j \in \Gamma'$. (If $[g_1] = [g_2]$, then $g_1g_2^{-1} \in \Lambda$ and hence $\langle j, g_1g_2^{-1} \rangle = 1$ for all $j \in \Gamma'$, which implies the statement.) Thus the two symbol definitions are equivalent in the sense that the value of "the" symbol \hat{A} on $g \in G$ only depends on $[g] = \pi(g)$.

Theorem 2. Let Γ' be a subgroup of the discrete abelian group Γ , let G and G' be the character groups of Γ and Γ' , respectively, and let Λ be defined by (2). If $\hat{A} \in W(G)^{n \times n}$ is an element which has all of its Fourier spectrum within Γ' , then $\hat{A} \in \mathcal{G}(W(G')^{n \times n})$ if and only if $\hat{A}(g) \in \mathcal{G}(\mathbb{C}^{n \times n})$ for every $g \in G$.

For the proof see [14].

We now consider factorizations. A (*left*) factorization of $A \in (W(G))^{n \times n}$ is a representation of the form

$$A(g) = A_{+}(g) \left(\text{diag} \left(e_{j_{1}}(g), \dots, e_{j_{n}}(g) \right) \right) A_{-}(g), \quad g \in G,$$
(3)

where $A_+ \in \mathcal{G}((W(G)_+)^{n \times n})$, $A_- \in \mathcal{G}((W(G)_-)^{n \times n})$, and $j_1, \ldots, j_n \in \Gamma$. Here and elsewhere we use diag (x_1, \ldots, x_n) to denote the $n \times n$ diagonal matrix with x_1, \ldots, x_n on the main diagonal, in that order. The elements j_k are uniquely defined (if ordered $j_1 \leq j_2 \leq \cdots \leq j_n$); this can be proved by a standard argument (see [9, Theorem VIII.1.1]). The elements j_1, \ldots, j_n in (3) are called the (*left*) factorization indices of A.

If all factorization indices coincide with the zero element of Γ , the factorization is called *canonical*. If a factorization of A exists, the function A is called *factorizable*. For $\Gamma = \mathbb{Z}$ and G the unit circle, the definitions and the results are classical [10], [9], [4]; many results have been generalized to $\Gamma = \mathbb{R}^k$ (see [2] and references there), and Γ a subgroup of \mathbb{R}^k (see [15],[16]). The notion of factorization in the abstract abelian group setting was introduced and studied, in particular, for block triangular matrices, in [14]. The present paper can be thought of as a follow up of [14]. In this paper we prove the following result.

Theorem 3. Let A have the form

$$A(g) = \begin{bmatrix} e_{\lambda}(g)I_p & 0\\ c_1 e_{\sigma}(g) - c_2 e_{\mu}(g) & e_{-\lambda}(g)I_q \end{bmatrix}, \qquad g \in G,$$
(4)

and assume that $\lambda \succ 0$, $\mu \succ \sigma$, and

$$n\mu \prec \lambda, \qquad n\sigma \prec \lambda \quad for \ all \ integers \ n.$$
 (5)

Then A admits a factorization if and only if

$$\operatorname{rank} (\lambda_1 c_1 - \lambda_2 c_2) = \max \{ \operatorname{rank} (z_1 c_1 - z_2 c_2) : z_1, z_2 \in \mathbb{C} \}$$

for every $\lambda_1, \lambda_2 \in \mathbb{C}$ satisfying $|\lambda_1| = |\lambda_2| = 1$. (6)

Moreover, in case a factorization exists, the factorization indices of A belong to the set

$$\{\pm\sigma,\pm\mu,\pm\lambda,\lambda-(\mu-\sigma),\ldots,\lambda-\min\{p,q\}(\mu-\sigma)\}.$$

We emphasize that the setting of Theorem 3 is a *non-archimedean* linearly ordered abelian group (Γ, \succeq) , in contrast with the archimedean linear order of \mathbb{R} and its subgroups. The setting of non-archimedean, as well as archimedean, linearly ordered abelian subgroups was studied in [14].

2. Preliminary results on factorization

Theorem 4. If A admits a factorization (3), and if the Fourier spectrum $\sigma(A)$ is bounded:

$$\lambda_{\min} \preceq \sigma(A) \preceq \lambda_{\max},$$

for some $\lambda_{\min}, \lambda_{\max} \in \Gamma$, then the factorization indices are also bounded with the same bounds

$$\lambda_{\min} \leq j_k \leq \lambda_{\max}, \quad k = 1, 2, \dots, n,$$
(7)

and moreover,

$$\sigma(A_{-}) \subseteq \{ j \in \Gamma : -\lambda_{\max} + \lambda_{\min} \preceq j \preceq 0 \},$$
(8)

and

$$\sigma(A_{+}) \subseteq \{ j \in \Gamma : 0 \leq j \leq \lambda_{\max} - \lambda_{\min} \}.$$
(9)

Proof. We follow well-known arguments. Rewrite (3) in the form

$$A_{+}^{-1}(e_{-\lambda_{\min}}A) = e_{-\lambda_{\min}}\Lambda A_{-}.$$

Since the left-hand side is in $W(G)_{+}^{n \times n}$, so is the right-hand side, and we have $j_k \succeq \lambda_{\min}$ for all $k = 1, \ldots, n$ (otherwise, A_{-} would contain a zero row, which is impossible because A_{-} is invertible). Analogously the second inequality in (7) is proved. Now

$$e_{\lambda_{\max}-\lambda_{\min}}A_{-} = \left(e_{\lambda_{\max}}\Lambda^{-1}\right)A_{+}^{-1}\left(e_{-\lambda_{\min}}A\right)$$

is a product of three matrix functions in $W(G)_{+}^{n \times n}$, and therefore also

$$e_{\lambda_{\max}-\lambda_{\min}}A_{-} \in W(G)^{n \times n}_{+}$$

This proves (8); (9) is proved analogously.

It follows from the proof that "one-sided" bounds are valid for the factorization indices:

$$\begin{array}{lll} \lambda_{\min} \preceq \sigma(A) & \Longrightarrow & \lambda_{\min} \preceq j_k, \ \ \text{for} \ \ k = 1, 2, \dots, n; \\ \sigma(A) \preceq \lambda_{\max} & \Longrightarrow & j_k \preceq \lambda_{\max} \ \ \text{for} \ \ k = 1, 2, \dots, n. \end{array}$$

For future use we record the next corollary of Theorem 4. On $\Gamma_+ \setminus \{0\}$ we consider the equivalence relation (cf. [6])

$$i \sim j \iff \exists n, m \in \mathbb{N} : (ni \succ j \text{ and } mj \succ i).$$

Here \mathbb{N} is the set of positive integers. Any such i, j are called *archimedeally equivalent* (with respect to (Γ, \preceq)). The set $\operatorname{Arch}(\Gamma, \preceq)$ of archimedean equivalence classes, which are additive semigroups (in the sense that they are closed under addition), can be linearly ordered in a natural way. Given $J \in \operatorname{Arch}(\Gamma, \preceq)$, it is easily seen that

$$\Gamma_{\boldsymbol{J}} := \{i - j : i, j \in \boldsymbol{J}\}$$

is the smallest additive subgroup of Γ containing J and that Γ_J in fact contains all archimedean components $\leq J$ in $\operatorname{Arch}(\Gamma, \leq)$.

Before proceeding we first discuss some illustrative examples.

- a. If \mathbb{Z}^k is ordered lexicographically, in increasing order the archimedean components are as follows: $J_0 = (0), J_1 = (0)_{k-1} \times \mathbb{N}, J_2 = (0)_{k-2} \times \mathbb{N} \times \mathbb{Z}, J_3 = (0)_{k-3} \times \mathbb{N} \times \mathbb{Z}^2, \dots, J_{k-1} = (0)_1 \times \mathbb{N} \times \mathbb{Z}^{k-2}, \text{ and } J_k = \mathbb{N} \times \mathbb{Z}^{k-1}.$
- b. \mathbb{Z}^2 with linear order $(i_1, i_2) \succ (0, 0)$ whenever $i_1 + i_2\sqrt{5} > 0$. Then the ordered group is archimedean and in increasing order the archimedean components are $J_0 = (0)$ and $J_1 = \{i \in \mathbb{Z}^2 : i \succ 0\}$.
- c. Let $(i_1, i_2) \succ (0, 0)$ whenever $i_1 + i_2 > 0$. Then in increasing order the archimedean components are $J_0 = (0), J_1 = \{(j, -j) : j \in \mathbb{N}\}$, and $J_2 = \{(i_1, i_2) : i_1 + i_2 > 0\}$.

We now have the following corollary.

Corollary 5. If A admits a factorization (3), and if the Fourier spectrum $\sigma(A)$ is contained in Γ_{J} for some $J \in Arch(\Gamma, \preceq)$, then

$$j_k \in \Gamma_J, \qquad k=1,\ldots,n,$$

and

$$\sigma(A_{-}^{\pm 1}) \in \Gamma_{\boldsymbol{J}}, \qquad \sigma(A_{+}^{\pm 1}) \in \Gamma_{\boldsymbol{J}}.$$

Indeed, in addition to using Theorem 4 we need only to observe that if $X \in \mathcal{G}(W(G)^{n \times n})$ is such that $\sigma(X) \subseteq \Gamma'$ for some subgroup $\Gamma' \subseteq \Gamma$, then $\sigma(X^{-1}) \subseteq \Gamma'$, and apply this observation for $X = A_{\pm}$ (the observation follows easily from Theorem 2).

Corollary 5 may be considered as asserting the hereditary property of Fourier spectra for additive subgroups of Γ of the form Γ_j . We say that a subgroup Γ' of Γ has the *hereditary property* if for each matrix function A that admits a factorization (3), and the Fourier spectrum of A is contained in Γ' , we have that the factorization indices as well as the Fourier spectra of A_{\pm} and of A_{\pm}^{-1} are also contained in Γ' . This notion was introduced in [16] for Γ the additive group \mathbb{R}^k ; the hereditary property of certain subgroups of \mathbb{R}^k was proved there as well. It is an open question whether or not the hereditary property holds for every subgroup of the character group of every connected compact abelian group.

A factorization (3) will be called *finitely generated* if the Fourier spectra of A_+ and of A_- are contained in some finitely generated subgroup of Γ . Clearly, a necessary condition for existence of a finitely generated factorization of A is that the Fourier spectrum of A is contained in a finitely generated subgroup of Γ . We shall prove below that this condition is also sufficient.

In the proof of the following theorem we make use of a natural projection: If $B \in W(G)^{n \times n}$ is given by the series

$$B(g) = \sum_{j \in \Gamma} B_j \langle j, g \rangle, \qquad g \in G,$$

and if Ω is a subset of Γ , we define B_{Ω} by

$$B_{\Omega}(g) = \sum_{j \in \Omega} B_j \langle j, g \rangle, \qquad g \in G.$$

Clearly, $B_{\Omega} \in W(G)^{n \times n}$ and the Fourier spectrum of B_{Ω} is contained in Ω .

Theorem 6. If $A \in W(G)^{n \times n}$ is factorizable, and if the Fourier spectrum of A is contained in a finitely generated subgroup of Γ , then A admits a finitely generated factorization.

Proof. Let $\tilde{\Gamma}$ be a finitely generated subgroup of Γ that contains the Fourier spectrum of A. Let (3) be a factorization of A. Since $(W(G)_{\pm})^{n \times n}$ are unital Banach algebras, the set of invertible elements $\mathcal{G}((W(G)_{\pm})^{n \times n})$ is open in $(W(G)_{\pm})^{n \times n}$. Thus, there exists a finitely generated subgroup $\check{\Gamma}$ of Γ with the following properties:

(a) $\check{\Gamma}$ contains $\tilde{\Gamma}$;

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- (b) $\check{\Gamma}$ contains the elements j_1, \ldots, j_n ;
- (c) $(A_{-})_{\Omega}$ and $(A_{+}^{-1})_{\Omega}$ are invertible in $(W(G)_{\pm})^{n \times n}$ for every set $\Omega \supseteq \check{\Gamma}$. For verification of (c), note the following estimate:

$$\begin{aligned} \|A_{-} - (A_{-})_{\Omega}\|_{(W(G)_{\pm})^{n \times n}} &= \sum_{j \in \Gamma \setminus \Omega} \|(A_{-})_{j}\| \leq \sum_{j \in \Gamma \setminus \check{\Gamma}} \|(A_{-})_{j}\| \\ &= \|A_{-} - (A_{-})_{\check{\Gamma}}\|_{(W(G)_{\pm})^{n \times n}}. \end{aligned}$$

Letting \breve{G} be the dual group of $\breve{\Gamma}$, by Theorem 2 and (3) we have

$$(A_{+}^{-1})_{\breve{\Gamma}}, (A_{-})_{\breve{\Gamma}} \in \mathcal{G}((W(\breve{G})_{\pm})^{n \times n}).$$

Rewrite the equality (3) in the form

$$[A_+(g))^{-1}A(g) = (\operatorname{diag}(e_{j_1}(g), \dots, e_{j_n}(g))) A_-(g).$$

Write also (omitting the argument $g \in G$ in the formulas)

$$\left((A_{+}^{-1})_{\check{\Gamma}} + ((A_{+})^{-1})_{\Gamma_{+} \setminus \check{\Gamma}} \right) A$$

$$= \left(\operatorname{diag} \left(e_{j_{1}}, \dots, e_{j_{n}} \right) \right) \left((A_{-})_{\check{\Gamma}} + (A_{-})_{\Gamma_{-} \setminus \check{\Gamma}} \right).$$
(10)

Since $j_1, \ldots, j_n \in \check{\Gamma}$ and the Fourier spectrum of A is contained in $\check{\Gamma}$, (10) implies

$$(A_+^{-1})_{\breve{\Gamma}}A = (\operatorname{diag}(e_{j_1},\ldots,e_{j_n}))(A_-)_{\breve{\Gamma}}.$$

Rewriting this equality in the form

$$A = \left((A_+^{-1})_{\check{\Gamma}} \right)^{-1} \left(\operatorname{diag} \left(e_{j_1}, \dots, e_{j_n} \right) \right) \left(A_- \right)_{\check{\Gamma}},$$

we obtain a finitely generated factorization of A.

Theorem 7. Let A be given as in Theorem 3 with p = q, and assume that (5) holds. If the matrix c_1 is invertible and the spectrum of $c_1^{-1}c_2$ does not intersect the unit circle, or if c_2 is invertible and the spectrum of $c_2^{-1}c_1$ does not intersect the unit circle, then A admits a finitely generated factorization. Moreover, the factorization indices belong to the set $\{\pm \sigma, \pm \mu\}$.

For the proof see [14]. In fact, the proof of Theorem 7 shows more detailed information about the factorization indices:

Theorem 8. Under the hypotheses of Theorem 7, assume that c_1 is invertible and the spectrum of $c_1^{-1}c_2$ does not intersect the unit circle, and let r be the dimension of the spectral subspace of $c_1^{-1}c_2$ corresponding to the eigenvalues inside the unit circle. Then the factorization indices of A are σ (r times), $-\sigma$ (r times), μ (p-rtimes), and $-\mu$ (p-r times).

If c_2 is invertible and the spectrum of $c_2^{-1}c_1$ does not intersect the unit circle, then the factorization indices of A are μ (r times), $-\mu$ (r times), σ (p-r times), and $-\sigma$ (p-r times), where r be the dimension of the spectral subspace of $c_2^{-1}c_1$ corresponding to the eigenvalues inside the unit circle.

Finally, we present a result concerning linearly ordered groups that will be used in the next section.

Proposition 9. Let (Γ, \preceq) be a finitely generated additive ordered abelian group. Let Γ_0 stand for the additive subgroup of Γ generated by all archimedean equivalence classes preceding the archimedean equivalence class E. Then there exists an additive subgroup Γ_1 of Γ such that the direct sum decomposition

$$\Gamma = \Gamma_0 \dot{+} \Gamma_1 \tag{11}$$

holds and the coordinate projection $\Gamma \to \Gamma_1$ is \preceq -order preserving.

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Proof. With no loss of generality we assume that $\Gamma = \mathbb{Z}^k$ and that the order \preceq on \mathbb{Z}^k has been extended to a so-called term order on \mathbb{R}^k . That is, if $x \preceq y$ in \mathbb{R}^k , $z \in \mathbb{R}^k$ and $c \geq 0$, then $x+z \preceq y+z$ and $cx \preceq cy$. Such an extension is always possible but is often nonunique [3]. There now exists an orthonormal basis $\{e_1, \ldots, e_k\}$ of \mathbb{R}^k and a decreasing sequence $\{H_0, H_1, \ldots, H_k\}$ of linear subspaces of \mathbb{R}^k with dim $H_r = k - r$ $(r = 0, 1, \ldots, k)$ such that $e_r \succ 0$, $e_r \in H_{r-1}$ and $e_r \perp H_r$ $(r = 1, \ldots, k)$ (cf. [5]). Here we note that the orthonormal basis is completely determined by the term order \preceq on \mathbb{R}^k , with the one-to-one correspondence between term order (on \mathbb{R}^k) and orthonormal basis given by

$$x = (x_1, \dots, x_k) \succ (0, \dots, 0) \Leftrightarrow \begin{cases} x_1 > 0 \text{ or} \\ x_1 = 0 \text{ and } x_2 > 0, \text{ or} \\ \vdots \\ x_1 = \dots = x_{k-1} = 0 \text{ and } x_k > 0 \end{cases}$$

Indeed, put $H_0 = \mathbb{R}^k$ and let H_1 stand for the set of those points in \mathbb{R}^k all of whose neighborhoods contain elements of both Γ_+ and Γ_- . Then H_1 is a linear subspace of \mathbb{R}^k of dimension k - 1 [5]. We now let e_1 be the unique unit vector in \mathbb{R}^k that is \preceq -positive and orthogonal to H_1 and restrict the term order to H_1 . We now repeat the same construction in H_1 and find a linear subspace H_2 of H_1 of dimension k - 2 and a unique \preceq -positive unit vector e_2 in H_1 orthogonal to H_2 . After finitely many such constructions we arrive at the sequence of linear subspaces $\mathbb{R}^k = H_0 \supset H_1 \supset \cdots \supset H_{k-1} \supset H_k = \{0\}$ and the orthonormal basis e_1, \ldots, e_k of \mathbb{R}^k as indicated above.

Next, let \tilde{H}_r be the smallest linear subspace of \mathbb{R}^k spanned by $H_r \cap \mathbb{Z}^k$ $(r = 0, 1, \ldots, k)$. From this nonincreasing set of linear subspaces of \mathbb{R}^k we select a maximal strictly decreasing set of nontrivial linear subspaces $\mathbb{R}^k = L_0 \supset L_1 \supset \cdots \supset L_{\mu-1} \neq \{0\}$. Also let ν be the largest among the integers $s \in \{1, \ldots, k\}$ such that $L_{\mu-1}$ is spanned by $H_{s-1} \cap \mathbb{Z}^k$; then $H_{\nu} \cap \mathbb{Z}^k = \{0\}$. If $\mu = 1$, we have $H_1 \cap \mathbb{Z}^k = \{0\}$, so that the ordered group (\mathbb{Z}^k, \preceq) is archimedean; in that case $i \mapsto \xi_1(i) \stackrel{\text{def}}{=} (i, e_1)$ (i.e., the signed distance from i to H_1) is an order preserving group homomorphism from (\mathbb{Z}^k, \preceq) into \mathbb{R} . On the other hand, if $\mu \geq 2$, we let (i) $\xi_1(i)$ stand for the signed distance from i to H_1 and $p_1(i)$ for the orthogonal projection of i onto L_1 , (ii) $\xi_r(i)$ for the signed distance from $p_{r-1}(i)$ to H_q for $q = \min\{s : L_r = \operatorname{span}(H_s \cap \mathbb{Z}^k)\}$ and $p_r(i)$ for the orthogonal projection of $p_{r-1}(i)$ onto L_r $(r = 2, \ldots, \mu - 1)$, and finally (iii) $\xi_\mu(i)$ as the signed distance from $p_{\mu-1}(i)$

$$i \stackrel{\varphi}{\mapsto} (\xi_1(i), \dots, \xi_\mu(i))$$

is an order preserving group homomorphism from (\mathbb{Z}^k, \preceq) into \mathbb{R}^{μ} with lexicographical order. It then appears that μ is the number of nontrivial (i.e., different from $\{0\}$) archimedean components. Moreover, in increasing order the archimedean components of (Γ, \preceq) are now as follows:

$$\boldsymbol{J}_{0} = \{0\}, \qquad \boldsymbol{J}_{r} = [L_{\mu-r} \cap \Gamma_{+}] \setminus \bigcup_{s=0}^{r-1} \boldsymbol{J}_{s} \ (r = 1, \dots, \mu).$$
(12)

The additive subgroups of \mathbb{Z}^k generated by the smallest archimedean components are as follows:

$$\Gamma_{\boldsymbol{J}_0} = \{0\}, \qquad \Gamma_{\boldsymbol{J}_r} = L_{\mu-r} \cap \Gamma \ (r = 1, \dots, \mu). \tag{13}$$

Let us now define the group homomorphisms π_r on Γ with image Γ_{J_r} and q_r with kernel Γ_{J_r} by $\pi_0 = 0$, q_0 equal the identity, and

$$\begin{cases} \pi_r i = \varphi^{-1}(0, \dots, 0, \xi_{\mu-r+1}(i), \dots, \xi_{\mu}(i)), \\ q_r i = \varphi^{-1}(\xi_1(i), \dots, \xi_{\mu-r}(i), 0, \dots, 0). \end{cases}$$
(14)

Then the fact that the linear order on $\varphi[\mathbb{Z}^k] \subset \mathbb{R}^{\mu}$ is lexicographical, implies that the additive group homomorphisms $q_0, q_1, \ldots, q_{\mu}$ are order preserving, but $\pi_1, \ldots, \pi_{\mu-1}$ are not. Putting $\Gamma'_{J_r} = q_r[\Gamma]$ we obtain the direct sum decomposition

$$\Gamma = \Gamma_{\boldsymbol{J}_r} \dot{+} \Gamma'_{\boldsymbol{J}_r}, \qquad r = 0, 1, \dots, \mu,$$

which completes the proof.

3. Proof of Theorem 3

Using Theorem 6 we can assume without loss of generality that Γ is finitely generated, and furthermore assume that $\Gamma = \mathbb{Z}^k$ for some positive integer k.

Consider the part "if". Applying the transformation

$$c_1 \mapsto Sc_1T, \quad c_2 \mapsto Sc_2T,$$

for suitable invertible matrices S and T, we may assume that the pair (c_1, c_2) is in the Kronecker normal form (see, e.g, [7]); in other words, c_1 and c_2 are direct sums of blocks of the following types:

(a) c_1 and c_2 are of size $k \times (k+1)$ of the form

$$c_1 = \left| \begin{array}{cc} I_k & 0_{k \times 1} \end{array} \right|, \quad c_2 = \left| \begin{array}{cc} 0_{k \times 1} & I_k \end{array} \right|.$$

(b) c_1 and c_2 are of size $(k+1) \times k$ of the form

$$c_1 = \begin{bmatrix} I_k \\ 0_{1 \times k} \end{bmatrix}, \quad c_2 = \begin{bmatrix} 0_{1 \times k} \\ I_k \end{bmatrix}.$$

- (c) c_1 is the $k \times k$ upper triangular nilpotent Jordan block, denoted by V_k , and $c_2 = I_k$.
- (d) $c_1 = I_k$, and $c_2 = V_k$.
- (e) c_1 and c_2 are both invertible of the same size.
- (f) c_1 and c_2 are both zero matrices of the same size.

Note that if c_1 (resp., c_2) is invertible, then condition (6) is equivalent to the condition that the spectrum of $c_1^{-1}c_2$ (resp., of $c_1c_2^{-1}$) does not intersect the unit circle. Thus, by Theorem 7 we are done in cases (c), (d), and (e), as well as in the trivial case (f).

Consider the cases (a) and (b), where the condition (6) is obviously satisfied. We follow arguments similar to those presented in [13], and also in the proof of [14, Theorem 7].

Let J_k be the $k \times k$ matrix with 1's along the top-right to the left-bottom diagonal and zeros in all other positions. If $A(g) = [a_{i,j}(g)]_{i,j=1}^n \in (W(G))^{n \times n}$, then A^* will denote the matrix function defined by $[\overline{a_{j,i}(g)}]_{i,j=1}^n$; clearly, $A^* \in (W(G))^{n \times n}$, and if $A \in (W(G)_{\pm})^{n \times n}$, then $A^* \in (W(G)_{\mp})^{n \times n}$. The transformation

$$A \mapsto \begin{bmatrix} 0 & J_{k+1} \\ J_k & 0 \end{bmatrix} A^* \begin{bmatrix} 0 & J_k \\ J_{k+1} & 0 \end{bmatrix}$$

transforms the case (b) to the case (a). Thus, it will suffice to consider the case (a): г

$$A = \begin{bmatrix} e_{\lambda}I_k & 0 & 0\\ 0 & e_{\lambda} & 0\\ e_{\sigma}I_k - e_{\mu}V_k & h & e_{-\lambda}I_k \end{bmatrix}, \text{ where } h = \begin{bmatrix} 0_{(k-1)\times 1} \\ -e_{\mu} \end{bmatrix}.$$

Let

$$B_{+} = \begin{bmatrix} I_{k} - e_{\mu-\sigma}V_{k} & b & -e_{\lambda-\sigma}I_{k} \\ 0 & 1 & 0 \\ 0 & 0 & \sum_{j=0}^{k-1} e_{j(\mu-\sigma)}V_{k}^{j} \end{bmatrix}, \text{ where } b = \begin{bmatrix} 0_{(k-1)\times 1} \\ -e_{\mu-\sigma} \end{bmatrix},$$
$$B_{-} = \begin{bmatrix} \sum_{j=0}^{k-1} e_{j(\mu-\sigma)-\lambda-\sigma}V_{k}^{j} & 0 & I_{k} \\ 0 & 1 & 0 \\ -I_{k} & 0 & 0 \end{bmatrix}.$$

Clearly,

$$B_+ \in \mathcal{G}((W(G)_+)^{(2k+1)\times(2k+1)})$$
 and $B_- \in \mathcal{G}((W(G)_-)^{(2k+1)\times(2k+1)})$

(the latter inclusion follows from (5) and from $\mu \succeq \sigma$). A direct computation shows that

$$\Phi_0 := B_+ A B_- = \begin{bmatrix} e_{-\sigma} I_k & 0 & 0\\ 0 & e_\lambda & 0\\ 0 & h_k & e_\sigma I_k \end{bmatrix},$$

where

 $(h_k)^T = \begin{bmatrix} -e_{(k-1)(\mu-\sigma)+\mu} & \dots & -e_{(\mu-\sigma)+\mu} & -e_{\mu} \end{bmatrix}.$ (15)Define for j = 0, 1, ..., k - 1 the auxiliary matrices

$$R_{+,k-j} = \begin{bmatrix} 1 & 0 & e_{\lambda-\mu-j(\mu-\sigma)} \\ 0 & I_{k-j-1} & h_{k-j-1}e_{-\sigma} \\ 0 & 0 & 1 \end{bmatrix},$$

$$R_{-,k-j} = \begin{bmatrix} e_{\sigma-\mu} & 0 & -1 \\ 0 & I_{k-j-1} & 0 \\ 1 & 0 & 0 \end{bmatrix}, \quad R_{k-j} = \begin{bmatrix} e_{\lambda-j(\mu-\sigma)} & 0 \\ h_{k-j} & e_{\sigma}I_{k-j} \end{bmatrix}.$$
and in view of (5)

Clearly, $R_{-,k-j} \in \mathcal{G}((W(G)_{-}))^{\vee}$ (5), and in view of (5),

$$R_{+,k-j} \in \mathcal{G}((W(G)_{+})^{(k-j+1)\times(k-j+1)}).$$

We also have the recurrence relations

$$R_{+,k-j}R_{k-j}R_{-,k-j} = \begin{bmatrix} R_{k-j-1} & 0\\ 0 & e_{\mu} \end{bmatrix}, \quad R_0 = e_{\lambda-k(\mu-\sigma)}, \quad (16)$$

for j = 0, ..., k - 1. Note that $\Phi_0 = \text{diag}(e_{-\sigma}I_k, R_k)$. Applying consecutively (16) for j = 0, ..., k - 1, we obtain a factorization $A = A_+\Lambda A_-$ with $\Lambda = \text{diag}(e_{-\sigma}I_k, e_{\lambda-k(\mu-\sigma)}, e_{\mu}I_k)$. This completes the proof of the "if" part of the theorem.

For the part "only if", we make use of the archimedean structure on $\Gamma = (\mathbb{Z}^k, \preceq)$ (see the previous section). Let Γ_0 be the subgroup of Γ generated by all archimedean classes of Γ that are $\prec \lambda$. Condition (5) guarantees that $0 \neq \mu - \sigma \in \Gamma_0$ and hence that $\Gamma_0 \neq \{0\}$. Since

 $\alpha \in \Gamma, \quad n\alpha \in \Gamma_0 \quad \text{for some} \quad n \in \mathbb{N} \implies \alpha \in \Gamma_0,$

it follows that

$$\Gamma = \Gamma_0 \dot{+} \Gamma_1, \tag{17}$$

a direct sum, for some subgroup Γ_1 of $\Gamma = \mathbb{Z}^k$, where the coordinate projection onto Γ_1 along Γ_0 is order preserving (Proposition 9). Also, by [11, Theorem 23.18], we may assume

$$G = G_0 \times G_1,\tag{18}$$

where G_j is the character group of Γ_j , j = 0, 1. We write

$$\lambda = \lambda_0 + \lambda_1, \quad \mu = \mu_0 + \mu_1, \quad \sigma = \sigma_0 + \sigma_1,$$

in accordance with (17). By construction of Γ_0 , we have $\lambda_1 \succ 0$, and by (5) $\mu, \sigma \in \Gamma_0$, and so $\mu_1 = \sigma_1 = 0$.

Assume that A has a factorization

$$A(g) = A_{+}(g) \left(\text{diag} \left(e_{j_{1}}(g), \dots, e_{j_{n}}(g) \right) \right) A_{-}(g), \quad g \in G.$$
(19)

In accordance with (17) and (18) write

$$j_k = j_{k,0} + j_{k,1}, \quad j_{k,0} \in \Gamma_0, \quad j_{k,1} \in \Gamma_1, \quad k = 1, \dots, n,$$

$$g = g_0 g_1, \qquad g_0 \in G_0, \quad g_1 \in G_1,$$

and consider the equation (19) in which g_0 is kept fixed, whereas g_1 is kept variable. To emphasize this interpretation, we write (19) in the form

$$A_{g_0}(g_1) = A_{+,g_0}(g_1) \left(\operatorname{diag} \left(e_{j_{1,0}}(g_0), \dots, e_{j_{n,0}}(g_0) \right) \right)$$

$$\left(\operatorname{diag}\left(e_{j_{1,1}}(g_1),\ldots,e_{j_{n,1}}(g_1)\right)\right)A_{-,g_0}(g_1).$$
(20)

We consider Γ_1 with the linear order induced by (Γ, \preceq) . Since the property that $\alpha = \alpha_0 + \alpha_1 \in \Gamma_{\pm}$, where $\alpha_j \in \Gamma_j$, j = 0, 1, implies that $\alpha_1 \in (\Gamma_1)_{\pm}$, we obtain

$$A_{\pm,g_0} \in \mathcal{G}((W(G_1)_{\pm})^{n \times n})$$

for every $g_0 \in \Gamma_0$. Thus, (20) is in fact a factorization of $A_{g_0}(g_1)$ whose factorization indices are $j_{1,1}, \ldots, j_{n,1}$, and moreover we have the following property:

(\aleph) the factorization indices of $A_{g_0}(g_1)$ are independent of $g_0 \in G_0$.

Arguing by contradiction, we assume that

$$\operatorname{rank} (\lambda_1 c_1 - \lambda_2 c_2) < \max \{ \operatorname{rank} (z_1 c_1 - z_2 c_2) : z_1, z_2 \in \mathbb{C} \}$$

for some $\lambda_1, \lambda_2 \in \mathbb{C}$ satisfying $|\lambda_1| = |\lambda_2| = 1$. (21)

A contradiction will be obtained with Property (\aleph). We can assume, using the Kronecker normal from (see, e.g., [7]), that c_1 and c_2 have the form

 $c_1 = \operatorname{diag}(c_{1,1}, \dots, c_{1,s}), \quad c_2 = \operatorname{diag}(c_{2,1}, \dots, c_{2,s}),$

where each pair of blocks $(c_{1,w}, c_{2,w})$ has one of the forms (a) - (f). After a permutation transformation, we obtain (keeping the same notation for the transformed $A_{g_0}(g_1)$):

$$A_{g_0}(g_1) = \text{diag}(A_{g_0,1}(g_1), \dots, A_{g_0,s}(g_1))$$

where

$$g_0(31) = 0(30,1(31)) = 0.0(31))$$

$$A_{g_0,w}(g_1) = \begin{bmatrix} e_{\lambda_1}(g_1)I_{p_w} & 0\\ c_{1,w}e_{\beta}(g_0) - c_{2,w}e_{\kappa}(g_0) & e_{-\lambda_1}(g_1)I_{q_w} \end{bmatrix} Q, \quad w = 1, \dots, s,$$

with $\beta, \kappa \in \Gamma_0$ independent of w, and Q is a diagonal matrix (also independent of w) with terms of the form $e_{\alpha}(g_0)$, $\alpha \in \Gamma_0$ on the main diagonal. Note that $\beta \neq \kappa$ (otherwise we would have $\mu = \sigma$, which is excluded by the hypotheses of the theorem). The "if" part of the theorem shows that $A_{g_0,w}(g_1)$ is factorable with indices independent of g_0 if the pair $(c_{1,w}, c_{2,w})$ has one of the forms (a), (b), (c), (d), and (f).

Suppose that the pair $(c_{1,w}, c_{2,w})$ is of the form (e). Then we may further assume that $c_{1,w} = I$ and $c_{2,w}$ is in the Jordan form:

$$c_{2,w} = J_{\tau_1}(\rho_1) \oplus \cdots \oplus J_{\tau_u}(\rho_u),$$

where $J_{\tau_j}(\rho_j)$ is the upper triangular $\tau_j \times \tau_j$ Jordan block with the eigenvalue ρ_j (for notational simplicity, we suppress the dependence of ρ_j , τ_j , and u on w in the notation used). Accordingly, after a permutation transformation we have $A_{q_0,w}(g_1)Q^{-1}$ in the following form:

$$\hat{A}_{g_0,w}(g_1) = \text{diag}(\hat{A}_{g_0,w,1}(g_1),\dots,\hat{A}_{g_0,w,u}(g_1)),$$

where

$$\tilde{A}_{g_0,w,j}(g_1) = \begin{bmatrix} e_{\lambda_1}(g_1)I_{\tau_j} & 0\\ e_{\beta}(g_0)I_{\tau_j} - e_{\kappa}(g_0)J_{\tau_j}(\rho_j) & e_{-\lambda_1}(g_1)I_{\tau_j} \end{bmatrix}, \ j = 1, \dots, u.$$

If $|\rho_j| \neq 1$, then by the "if" part of the theorem, the factorization indices of $\tilde{A}_{g_0,w,j}(g_1)$ are independent of g_0 (this can be also checked directly). Assume $|\rho_j| = 1$; then the factorization indices of $\tilde{A}_{g_0,w,j}(g_1)$ equal zero if

$$e_{\beta}(g_0) - \rho_j e_{\kappa}(g_0) \neq 0. \tag{22}$$

Indeed,

$$\begin{bmatrix} e_{\lambda_1}I & 0\\ S & e_{-\lambda_1}I \end{bmatrix} = \begin{bmatrix} I & e_{\lambda_1}S^{-1}\\ 0 & I \end{bmatrix} \begin{bmatrix} 0 & -S^{-1}\\ S & e_{-\lambda_1}I \end{bmatrix},$$

where

$$S = e_{\beta}(g_0)I_{\tau_j} - e_{\kappa}(g_0)J_{\tau_j}(\rho_j).$$

If $|\rho_j| = 1$ and

$$e_{\beta}(g_0) - \rho_j e_{\kappa}(g_0) = 0,$$
 (23)

then the factorization indices of $\tilde{A}_{g_0,w,j}(g_1)$ are zeros $(2(\tau_j - 1) \text{ times})$ and $\pm \lambda_1$. It follows that for the values of g_0 such that

$$e_{\beta}(g_0) - \rho e_{\kappa}(g_0) \neq 0 \tag{24}$$

for any eigenvalue ρ of $c_{2,w}$ the factorization indices of $A_{g_0,w}(g_1)$ are all zeros, whereas in case the equality

$$e_{\beta}(g_0) - \rho e_{\kappa}(g_0) = 0 \tag{25}$$

holds for some eigenvalue ρ of $c_{2,w}$ not all factorization indices of $A_{g_0,w}(t_1)$ are zeros. Since $\kappa \neq \beta$, the range of the function

$$e_{\beta-\kappa}(g_0) = e_{\beta}(g_0) \left(e_{\kappa}(g_0)\right)^{-1}$$

coincides with the unit circle (since G is connected and the characters are continuous), and therefore by hypothesis (21) there do exist eigenvalues ρ of $c_{2,w}$ for which (25) holds. We obtain a contradiction with Property \aleph .

This completes the proof of Theorem 3.

4. Invertibility vs factorizability

The following conjecture was stated in [14].

Conjecture 10. Every function $A \in \mathcal{G}(W(G)^{n \times n})$ admits a factorization if and only if Γ (as an abstract group without regard to \succeq) is isomorphic to a subgroup of the additive group of rational numbers \mathbb{Q} .

Regarding this conjecture we quote a result from [14]:

Theorem 11. If Γ is not isomorphic to a subgroup of \mathbb{Q} , then there exists a 2×2 matrix function of the form

$$A(g) = \begin{bmatrix} e_{\lambda}(g) & 0\\ c_1 e_{\alpha_1}(g) + c_2 + c_3 e_{\alpha_3}(g) & e_{-\lambda}(g) \end{bmatrix}, \qquad g \in G,$$
 (26)

where $\lambda, \alpha_1, \alpha_2, \alpha_3 \in \Gamma$, and $c_1, c_2, c_3 \in \mathbb{C}$, which does not admit a factorization with the factors A_{\pm} and their inverses A_{\pm}^{-1} having finite Fourier spectrum.

We improve on Theorem 11:

Theorem 12. If Γ is not isomorphic (as an abstract group) to a subgroup of \mathbb{Q} , then there exists a 2 × 2 matrix function of the form (26) which is not factorable.

Proof. Consider two cases: (1) Γ is archimedean. Then (Γ, \succeq) is isomorphic to a subgroup of the additive group of real numbers (Hölder's theorem, see, e.g., [6]) and since Γ is not isomorphic to a subgroup of \mathbb{Q} , there exist non-commensurable

elements $x, y \in \Gamma \setminus \{0\}$. Using x and y, a known construction (see [12], also [2, Section 8.5]) may be used to produce a 2×2 matrix function of the required form.

(2) Γ is not archimedean. Then there exist $\sigma = 0 \prec \mu \prec \lambda \in \Gamma$ such that (5) holds. Theorem 3 now implies that the function

$$\begin{bmatrix} e_{\lambda}(g) & 0\\ 1 - e_{\mu}(g) & e_{-\lambda}(g) \end{bmatrix}, \qquad g \in G,$$

is not factorable.

Theorem 12 and its proof show that if Γ is not isomorphic to a subgroup of \mathbb{Q} , then there exists a 2 × 2 matrix function of the form

$$A(g) = \sum_{j=1}^{k} c_j e_{\alpha_j}(g), \qquad \det A(g) \equiv 1$$

which is not factorable, with k = 5 if Γ is archimedean, and k = 4 if Γ is not archimedean. On the other hand, for every linearly ordered group Γ , every $n \times n$ matrix function of the form

$$A(g) = c_1 e_{\alpha_1}(g) + c_2 e_{\alpha_2}(g)$$

with det $A(g) \neq 0$, $g \in G$, is factorable. Indeed, this follows easily from the Kronecker form of the pair of matrices (c_1, c_2) . This leaves the following problem open:

Problem 13. Assume that Γ is not isomorphic to a subgroup of \mathbb{Q} .

(a) If the subgroup generated by $\alpha_1, \alpha_2, \alpha_3 \in \Gamma$ is not archimedean, prove or disprove that every $n \times n$ matrix function of the form

$$A(g) = c_1 e_{\alpha_1}(g) + c_2 e_{\alpha_2}(g) + c_3 e_{\alpha_3}(g)$$

with det $A(g) \neq 0$, $g \in G$, is factorable.

(b) If Γ is archimedean, prove or disprove that every $n \times n$ matrix function of the form $A(g) = \sum_{j=1}^{k} c_j e_{\alpha_j}(g)$ with det $A(g) \neq 0$, $g \in G$, and with k = 3 or k = 4, is factorable.

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